

KHEAA Program Reviews

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Top Volume KHEAA Programs FY2010

- KES
 - \$93,762,998 to 69,227 students
- CAP
 - \$63,278,298 to 40,978 students
- KTG
 - \$32,360,044 to 12,508 students

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Purpose

- Assess institutional compliance with program requirements
- Assess institutional stewardship of program funds
- Locate operational weaknesses and offer remedies

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Selection

- Significant problems in prior reviews
- Reconciliation issues
- Requests for funds after close of award year
- Late submission of files/reports
- Reliance on manual processing
- Student complaints
- Media stories

What are we looking for?

- Evidence that students met the eligibility requirements of the particular program
- Evidence that funds were actually disbursed to eligible students
- Evidence of reconciliation and the return of undisbursed funds

Findings of Greatest Concern

- Failure to disburse to eligible students
- Incorrect KES GPA and enrollment reporting
- Incorrect awards
- Residency Issues
 - No policy (13 KAR 2:045)
 - Undocumented appeals decisions
 - Disbursing funds to nonresidents
- Failure to reconcile
- Failure to return undisbursed funds

Solutions

- Automation
- KHEAA's files/reports
 - Reconcile, reconcile, reconcile
- Policies and procedures
- Training
- Internal controls
 - Preventive Controls
 - Detective Controls

Resources

- KEES
 - KRS 164.7874 to 164.7885
 - 11 KAR Chapter 15
 - KEES Processes and File Layouts
- CAP & KTG
 - 11 KAR Chapter 5
 - KHEAA Grant Manual

Resources

- Kentucky Revised Statutes
 - KRS Chapter 164.00
 - <http://www.lrc.ky.gov/KRS/164-00/CHAPTER.HTM>
- Kentucky Administrative Regulations
 - KAR Title 11 (11 KAR)
 - <http://www.lrc.ky.gov/kar/TITLE011.HTM>